

The role of consciousness in social active inference and the emergence of adaptive and maladaptive behaviours

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'[...] if one treats consciousness naturalistically, as an empirical construct like any other, subjective experience simply becomes a brain representation that is supported in a certain way by certain brain regions [...]' Baars (Chapter 16 'The Blackwell Companion to Consciousness' [SV17])

Principles of PCM:

- Rudrauf, D., Bennequin, D., Granic, I., Landini, G., Friston, K., Williford, K. (2017) A mathematical model of embodied consciousness. *Journal of Theoretical Biology*. 428 [RBG⁺17]
- Williford, Kenneth, Daniel Bennequin, Karl Friston, and David Rudrauf. "The projective consciousness model and phenomenal selfhood." *Frontiers in Psychology* 9 (2018): 2571.[WBFR18]

Mathematical formulation of the moon illusion: Rudrauf, D., Bennequin, D., and Williford, K. (2020). The Moon Illusion explained by the Projective Consciousness Model. *Journal of Theoretical Biology*, 110455 [RBW20]

Projective Consciousness model: Contribution

Develop adaptive agents with a conscious like global workspace
(in interaction with other agents)

*'Modeling the subjective perspective of consciousness and its role in
the control of behaviours [RSPB⁺20]*

with D. Rudrauf, O. Belli, Y. Tisserand, G. Di Marzo Serugendo

Adaptive systems: Bayesian Brain Hypothesis

Bayesian Brain Hypothesis I

- Adaptive systems have evolved in such a way that they can preserve their integrity and to do so they must predict the behaviour of their environment.
- How? Making hypothesis on the world and updating them with new observations
- Why? Help them decide how to act

Bayesian Brain Hypothesis II: Free Energy Principle

Karl Friston introduced Active inference [FKH06][DPS⁺20]: loop of inference and action,

- State space:
 - ① S set of sensory inputs
 - ② I possible states the agent believes the world can be in (internal to the agent), causes of sensory information
 - ③ M set of moves
- Generative model $P \in \mathbb{P}(S \times I)$: how states are expected to impact sensors

Recall that a generative model over $S \times I$ is a probability distribution,

$$\sum_{s \in S, i \in I} P(s, i) = 1$$

Bayesian Brain Hypothesis II: Free Energy Principle II

Inference: given an observation $s \in S$ what are the possible causes?

$$P_{|s}(i|s) = \frac{P(i, s)}{P(s)} \quad (\text{Posterior})$$

The agent has a set of hypothesis (its internal state) with respect to possible states of the world, a set of contexts ($Q_\gamma \in \mathbb{P}(I), \gamma \in \Gamma$) that it needs to fit to the observation:

$$\gamma^* = \mathbf{argmin}_{\gamma \in \Gamma} \sum_{i \in I} Q_\gamma(i) \ln \frac{Q_\gamma(i)}{P(i, s)} \quad (\text{Free Energy})$$

It can be rewritten as,

$$\sum_{i \in I} Q_\gamma(i) \ln \frac{Q_\gamma(i)}{P(i, s)} = \text{DKL}(Q_\gamma \| P_{|s}) - \ln P(s) \quad (\text{KL divergence})$$

Where $\text{DKL}(Q_\gamma \| P_{|s}) = \sum_{i \in I} Q_\gamma(i) \ln \frac{Q_\gamma(i)}{P_{|s}(i|s)}$.

Action: Once γ^* is chosen, how to act?

Moves change sensory information, and the best move is such that,

$$m^* = \mathbf{argmin}_{m \in M} \sum_{i \in I} Q_{\gamma^*}(i) \ln \frac{Q_{\gamma^*}(i)}{P(i, s(m))} \quad (0.1)$$

In fact,

$$\sum_{i \in I} Q_{\gamma}(i) \ln \frac{Q_{\gamma}(i)}{P(i, s(m))} \geq -\ln P(s(m))$$

The optimization problem can be rewritten as:

$$m^* = \mathbf{argmin}_{m \in M} \sum_{i \in I} Q_{\gamma^*}(i) [-\ln P(s(m)|i)]$$

Active inference: Summary

In the inference step a state $\gamma \in \Gamma$ is induced by sensory input s by minimizing a cost function $c : \mathcal{S} \times \Gamma \rightarrow \mathbb{R}$,

$$\gamma^* = \mathbf{argmin}_{\gamma \in \Gamma} c(s, \gamma) \quad (0.2)$$

and during the action selection step, the subject chooses the action according to a second cost function $c_1 : \Gamma \times \mathcal{M} \rightarrow \mathbb{R}$,

$$m^* = \mathbf{argmin}_{m \in \mathcal{M}} c_1(m, \gamma^*) \quad (0.3)$$

which in turn induces a change at the level of the sensory input, since the environment reacts to this action.

- ⚠ In our model action differs from the Free Energy Principle.
→ Action driven by preferences

Projective geometry for modeling consciousness

Goals:

- 1 Implement a subjective perspective for adaptive agents
- 2 In a way compatible with axioms of consciousness
 - Alexander's axioms: internal world model, imagination, attention, planing, emotion [Ale05]
 - Information integration

How?

- 1 Definition of an internal space: the Field of Consciousness (internal world, workspace)
- 2 Projective geometry for linking the 'real' world to the Field of Consciousness (Attention, Information integration)
- 3 Define subjective affective quantities from information in the Field of Consciousness

Projective geometry I

- 1 3-d Euclidean space $E_3 = \mathbb{R}^3$
- 2 3-d Projective space $P_3(\mathbb{R})$, the set of lines of \mathbb{R}^4
 - a line of direction $v \in \mathbb{R}^4 \setminus \{0\}$: $\{\lambda v \mid \lambda \neq 0\}$
- 3 E_3 as a (open) subset of $P_3(\mathbb{R})$
 - $(\lambda_1, \lambda_2, \lambda_3) \in E_3 \rightarrow (\lambda_1, \lambda_2, \lambda_3, 1) \in P_3(\mathbb{R})$

Projective geometry II

- Change of perspective: a projective transformation $P_3(\mathbb{R}) \rightarrow P_3(\mathbb{R})$
- A projective transformation is a 4×4 invertible matrix M :
- When seen in the subsets E_3 , the transformation can be written as:

$$\phi(\lambda_1, \lambda_2, \lambda_3) = \left(\frac{M(\lambda_1, \lambda_2, \lambda_3, 1)[1]}{M(\lambda_1, \lambda_2, \lambda_3, 1)[4]}, \frac{M(\lambda_1, \lambda_2, \lambda_3, 1)[2]}{M(\lambda_1, \lambda_2, \lambda_3, 1)[4]}, \frac{M(\lambda_1, \lambda_2, \lambda_3, 1)[3]}{M(\lambda_1, \lambda_2, \lambda_3, 1)[4]} \right)$$

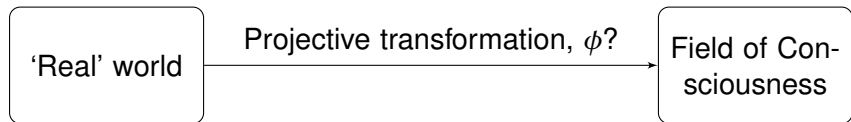
Field of Consciousness:

- Target of a projective transformation
- Subset of E_3 centered on 0
- 0 is the place where the conscious agent locates itself

How to go from 'real world' to Field of Consciousness?

- 'real' world position, orientation \rightarrow projective transformation
- Multiple choices: give a set of axioms

Choice of transformation: Visualization



Canonical choice of transformation

Set of axioms (in the agent's frame of reference):

- 1 The subject is centered in 0 after projective transformation, i.e. in its perspective it is at the center of its frame.
- 2 The three axes x, y, z are preserved, i.e. the axes of the Euclidean frame associated with the agent (up-down, left-right, and back-front) must be preserved after projective transformation.
- 3 No points in ambient space appears, to the subject, to be truly at infinity; this constraint being satisfied when the subject only directly represents a half space.
- 4 Objects that are near the agent appear to have the same size as in the reference Euclidean frame.

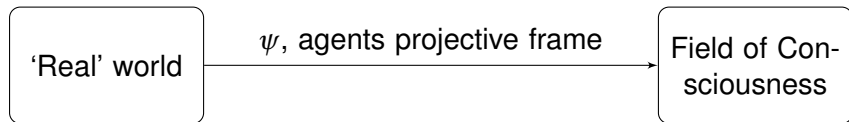
Canonical choice of transformation II: Results

- 1 Space of transformation parametrized by a positive real number c (depth of field)
- 2 The perceived size of objects, r_p , varies (asymptotically) as,

$$\frac{r_p}{r} \simeq \frac{1}{cz} \quad (\text{Steven's Law})$$

where z is the coordinate of the direction along which the subject is aiming and r the length of the object in the 'real' world.

Choice of transformation: Visualization



Perceived value of an entity: μ ,

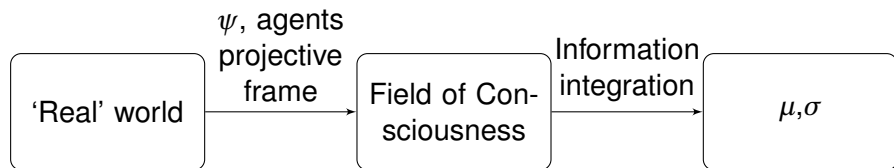
- weighted mean of preference and neutral preference, weighted by perceived volume v_p in the projective chart,

$$\mu = p\gamma \frac{v_p^{1/4}}{v_{tot}^{1/4}} + q_n \left(1 - \gamma \frac{v_p^{1/4}}{v_{tot}^{1/4}}\right) \quad (\text{Adjusted Steven's Law})$$

with $\gamma \in [0, 1]$ attentional focalisation (centered on body of the agent and its orientation), and v_{tot} the total volume of the bounded field of view

Information integration and epistemic quantity

- σ_e : uncertainty agent has towards an entity e relative to its field of view.



Already have:

- Personal Perspective on the 'real' world
- Internal world model, workspace
- Attention
- Emotion

What is left to present:

- Imagination? : taking perspective with respect to other Euclidean frames
- Epistemic drive? : acting in order to reduce uncertainty
- Empathy?: simulating other agent's perspective

- Uncertainty seen as uncertainty on perceived value
- (μ, σ) parameterize a probability law on $[0, 1]$ centered on μ and of dispersion σ : $Q(\lambda|\mu, \sigma)$, $\lambda \in [0, 1]$.
- Achieve high perceived values, low uncertainty
- A desired state $P \in \mathbb{P}[0, 1]$ with high μ , low σ
- Minimise,

$$\text{DKL}(Q(\cdot|\mu_{\psi(m)}, \sigma_{\psi(m)}) \| P)$$

where frame ψ changes with action m .

How it generalizes to multi-agents:
Theory of Mind and (imaginary) perspective taking

Multi-agents: ToM and perspective taking I

⚠ We will now call the singled out conscious agent as subject

- 1 Subject has preferences for entities (objects, other agents)
- 2 Subject has priors on preferences of other agents
- 3 Subject is influenced by other agents for
 - preference update (inference phase)
 - action
- 4 Subject makes plan for how other agents will behave

Multi-agents: ToM and perspective taking II

- Theory of Mind (ToM): inferences about mental states of others (preferences) to understand and predict their behaviours, integrating spatial relationships and affective values
- Collection of entities E (objects and agents), collection of agents A
- The configuration of an entity, e , is a subset of \mathbb{R}^3 denoted as $X_e \subseteq \mathbb{R}^3$, collection of configuration denoted as X .

Subject of ToM-0:

- preferences ($q_e, e \in E$)
- no preferences update
- choice of action given by

$$C_0(m, X, q) = \sum_{\substack{e \in E \\ e \neq s}} \frac{1}{|E| - 1} \text{DKL}(Q(\cdot | \mu_{\psi(m), q}(X_e), \sigma_{\psi(m), q}(X_e)) \| P)$$

(ToM-0)

Subject of ToM-1:

- ① Simulates agents as ToM-0, taking their perspective
- ② preference matrix ($p_{ae} \in [0, 1], a \in A, e \in E$)
 - preferences attributed to others
 - true preferences: $q_s = p_s$.
- ③ Influences of other agents
 - influence vector for preferences update ($J_{se}^p, e \in E$)
 - for actions ($J_{se}^m, e \in E$)
- ④ Planification of others actions, contribution to action after k steps:

$$C_1(m, p^k, J, X^k) = \sum_{\substack{a \in A \\ e \neq b}} \sum_{e \in E} \omega_{a,e} \text{DKL}(Q(\cdot | \mu_{a, \psi_a(m)}, p_a^k(X_{e,m}^k), \sigma_{a, \psi_a(m)}(X_{e,m}^k)) \| P)$$

Experimental Results

Can the PCM algorithm robustly simulate adaptive and maladaptive canonical behaviors evaluated in child developmental and clinical psychology?

- 1 approach-avoidance and joint-attention behaviors
- 2 psychopathology
 - Autism Spectrum Disorders (ASD): deficits in social interactions, restricted interests, repetitive behaviors, deficits in joint attention
 - Social Anxiety Disorders (SAD): avoidance of social situations related to negative priors about self attributed to others while caring about others' judgments

For videos of experiments see

<http://www.gregoiresergeant-perthuis.com/>





Improvements

- Implementation of active inference for emotion assessment adapted from [SPF19]: Internship of Rida Lali
- Reformulation of FEP with perspective taking (group action): upcoming paper



Thank you very much for your attention

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